

Effect of Substrate Bias Voltage and Ti Doping on the Tribological Properties of DC Magnetron Sputtered MoS_x Coatings

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Abstract: Molybdenum disulfide (MoS_2) is one of the most widely used solid lubricants. In this work, composite MoS_x/Ti coatings were deposited by direct-current magnetron sputter ion plating onto plain carbon steel substrates. The MoS_x/Ti ratio in the coatings was controlled by sputtering the composite targets. The composition, microstructure, and mechanical properties of the coatings were explored using an energy dispersive analysis of X-ray (EDX), X-ray diffraction (XRD), nanoindentation and scratch techniques. The tribological behavior of the coatings was investigated using the pin-on-disc test at room temperature. With the increase of doped titanium content, the crystallization degree of the MoS_x/Ti composite coatings decreased. The MoS_x/Ti coatings showed a maximum hardness of 13 GPa at a dopant content of 5 at.% Ti and the MoS_x/Ti composite films outperformed the MoS_x films. Moreover, the films exhibited a steady state friction coefficient from 0.13 to 0.19 and the main wear mechanisms of the MoS_x/Ti coating in the air were abrasive, adhesive, and oxidation wear.

Keywords: MoS_x/Ti coating, Tribological properties, PVD, Low friction, Solid lubricant.

1. INTRODUCTION

Solid lubricants are materials that, despite being in the solid phase, are able to reduce the friction of surfaces. They overcome some inherent drawbacks to liquid lubrication and can be adopted in very harsh conditions (e.g., high temperature, heavy load, high vacuum, and strong oxidation). Under these conditions, liquid lubrication loses its lubricating function [1, 2].

Transition-metal dichalcogenides (TMDs; MX_2 where M = Mo or W and X = S or Se) have been widely used in industry and research. The TMDs possess a layered structure with strong covalent bonding between the M and X atoms in a layer and weak Van der Waals forces between layers. Among MX_2 coatings, MoS_2 has been the most widely used as lubricant coating, but its tribological properties degrade in moist and high-temperature environments [3, 4].

MoS_2 has been applied to surfaces using a variety of methods, including hydrothermal synthesis [5, 6], physical vapor deposition [7], vertically aligned layers [4], photoluminescence

[8], chemical vapor deposition (CVD) [9], and chemical deposition [10]. Among these methods, PVD techniques have been most widely used in MoS_2 coating deposition.

PVD magnetron sputtering, which is a very attractive deposition technique, involves applying high-energy plasma in a vacuum under the action of an electrical field to deposit the solid MoS_2 on the substrate surface. Recent developments in magnetron sputtering technology have allowed the development of MoS_2 composite coatings [11]. Several authors have explored adding metals and materials to improve the properties of MoS_2 coating using the magnetron sputtering method. Some of metals studied include Au [12, 13], Zr [14, 15], Cu [16], Ag [17], Nb [18, 19], W [20], Ti [21, 22], Ta [12], Cr [21, 23], Al [24]. Also mixed metal or ceramic were studied such as Mo_2N [17], TiAlN [24], TiN [25, 26], WS_2 [27, 28], CrN [29] or Sb_2O_3 [30].

The addition of Ti to the MoS_2 coating has drawn much attention due to the improvement of tribological performance in ambient air. MoS_2/Ti composite coatings are harder, more

adherent, significantly more wear resistant, and more load-bearing than pure MoS₂ coatings. They have similarly low friction to pure MoS₂ coatings and are less sensitive to atmospheric water vapor than pure MoS₂ coatings owing to the “gettering” effect of Ti during the wear process [31].

In the present work, MoS₂/Ti coatings were deposited on plain carbon steel by direct-current (DC) magnetron sputtering ion plating. The properties of the coatings were evaluated and the effects of Ti inclusion in the MoS₂ coatings on their tribological performance in ambient air were investigated.

2. EXPERIMENTAL

Samples of plain carbon steel with a chemical composition of 0.15% C and 0.22% Si that measured 10 mm×5 mm×2 mm were used as substrates.

The substrates were cleaned ultrasonically in acetone and methanol for 15 mins and successively rinsed with deionized water and blown with dry air.

The MoS₂ coating were fabricated in DC magnetron sputtering ion plating equipment (model DST3 – S).

The vacuum system was pumped down to an ultimate base pressure of 5×10^{-4} Pa using a combination of diffusion and rotary pumps. Ar (99.95%) was used as the sputtering gas across the target surface. During the experiments, the substrate temperatures were between 19 and 25°C, as measured by thermometer; there was no external heating.

MoS₂ (purity 99.8%) and Ti (purity 99.99%) targets with 0, 5, 10, and 15 wt.% composite composition with a 50 mm diameter fixed on a magnetron were used. The composite targets were fabricated by ball milling the mixture of pure MoS₂ and Ti powders, followed by pressing the mixture under a pressure of 60 MPa in an Ar atmosphere at 850.

The microstructure and chemical composition of the surface and cross-section of the coatings were analyzed using a scanning electron microscopy (SEM) (Camscan MV2300) with energy dispersive spectroscopy (EDS). X-ray diffraction (XRD) was

utilized to identify the phases that formed in the surface layer of the coated sample, using Cu K α radiation ($\lambda=1.5405 \text{ \AA}$).

The hardness and Young’s modulus were measured using the nanoindentation test (CSM) developed by Oliver and Pharr [32]. The maximum indentation depth, load, and max loading and unloading rate were 130 nm, 5000 μN , and 60.00mN/min, respectively. Six indentations were applied on each coating and the average value was presented.

AHysitron Inc. TriboScope® nanomechanical test instrument with a two-dimensional transducer and commercial diamond cube corner indenter (three-sided pyramid geometry) with a tip radius of ~50–55 nm was used for the scratch tests. Three load-controlled ramping scratch tests were performed on each sample to determine the critical load of each coating.

Sliding wear tests were conducted using a pin-on-disc machine according to the ASTM G99-95. This equipment is controlled by its PC software, which allows the evolution of the friction coefficient to be observed. During the tests, the treated samples were rotating against a stationary AISI 52100 steel pin (with 4.576 mm hemispherical tip radius and hardness of 800 HV30) at a linear speed of 0.1 m/s under a load of 5 N.

3. RESULTS AND DISCUSSION

Among deposition parameters, a negative bias voltage applied to the substrates could significantly change film properties. A substrate bias voltage decreases the sulfur concentration and changes the stoichiometry of the coating, which deteriorates its lubricating properties. The stoichiometry of sputtered MoS₂ coatings can vary widely, from being sulfur-deficient to sulfur-rich (with sulfur/molybdenum ratios from 1.1 to 2.2). In addition, the bias voltage can clean the substrate by ion bombarding, which could enhance the adhesion [33]. Sputtered MoS₂ coatings were therefore deposited at different bias voltages to determine the optimal bias voltage.

The average growth rate of MoS₂ coatings with different bias voltages is shown in Fig. 1.

When the negative bias voltage was increased from -50 V to -100 V, the deposition rate of MoS₂ decreased sharply from 6.5 μm/h to 1.5 μm/h and then decreased slightly to 1 μm/h with a further increase of the bias voltage to -150 V.

The MoS_x films prepared by sputtering in our experiment did not form a stoichiometric MoS₂ composition. The S/Mo ratios–bias voltage relation obtained from the films deposited with bias voltages of 0, 50, 100, and 150 V is shown in Fig. 2. Since there is a natural tendency for sulfur to be lost during the MoS₂ deposition process, the general stoichiometry of the final coating was MoS_x where generally x < 2. Due to the difference of the sputtering yield of Mo and S, the stoichiometry ratio (x) varied between 1.2 and 1.8. The sputter yield is the number of atoms ejected from the target per incident ion. The reason for the decrease of the sulfur concentration is due to the preferential re-sputtering of S atoms with respect to Mo.

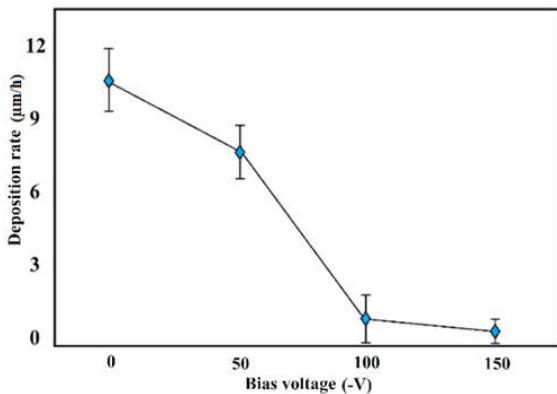


Fig. 1. Deposition rate versus the applied bias voltage.

Fig. 2 shows the effects of the substrate bias voltage on the S/Mo ratio and friction coefficient. As can be seen, the S/Mo ratio and friction coefficient decreased as the substrate bias voltage increased. Although it has not been established why sulfur defective structures (x < 2) could have better friction properties than the perfect stoichiometric compound (x = 2), this could be identified by a superior enhancement of the sulfur layer by strong bonding strength within the plane, without interaction with other layers [33].

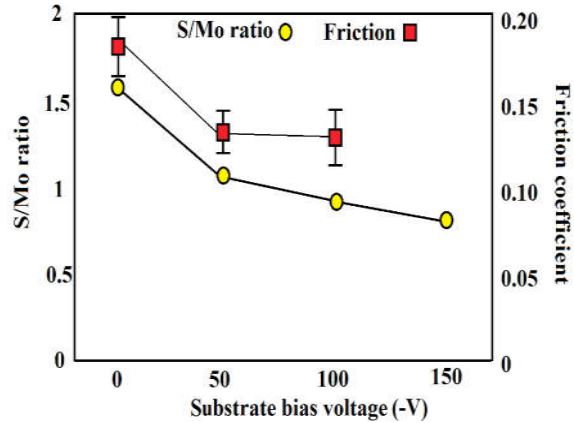


Fig. 2. Variation of the S/Mo ratio with a substrate bias voltage.

Representative plots of normal displacement and lateral force versus time from a ramping force nano-scratch test are shown in Fig. 3. These plots reveal distinct changes in curve profiles (circled) corresponding to film failure/delamination events that occurred during the ramping force nano-scratch test. The normal displacement and lateral force displayed in the data are explained as critical load (P_{crit}) and critical depth (h_{crit}), respectively.

3-D in-situ SPM image of MoS_x coating at a voltage bias of -50 V after a 4000 μN ramping force nano-scratch test is shown in Fig. 4. Since the scratch groove depth is less than 1 μm the strength of adhesion is higher than coating cohesion.

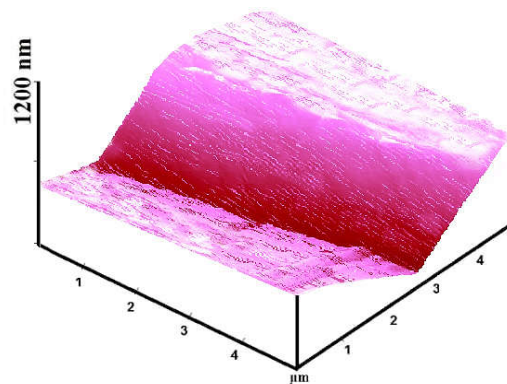


Fig. 3. 3-D in-situ SPM image of MoS_x coating at a voltage bias of -50 V after a 4000 μN ramping force nano-scratch test.

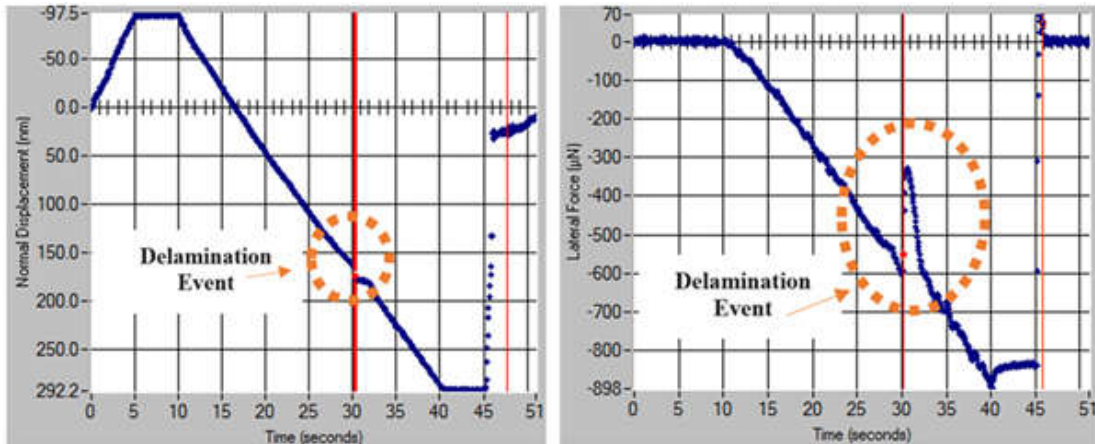


Fig. 4. Representative plots of lateral force and normal displacement versus time from a 4000 μN ramping force nano-scratch test. The P_{crit} and h_{crit} are circled. (the applied bias voltage is -50 V)

Fig. 5 shows the variation of P_{crit} and h_{crit} data with a substrate bias voltage. The results clearly indicate that applying a voltage bias of -50 V improved the cohesive properties of coatings. The enhancement of adhesion with an increase in bias voltage can be attributed to the additional energy available to the growing film. High-energy atoms thus have greater mobility to find the low-energy sites on the surface to maximize the adhesion force. However, more increase in bias voltage would result in very high-energy bombardments that make the growing film being highly defective with a lower coating adhesion [34].

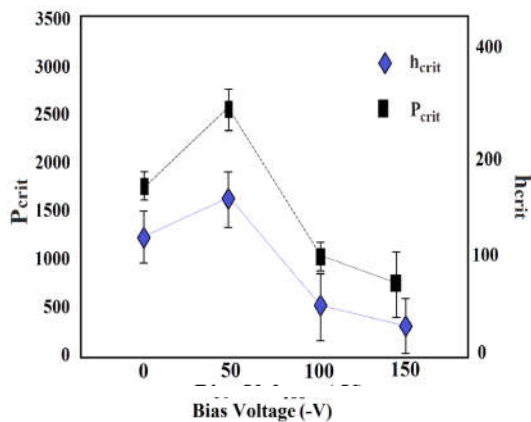
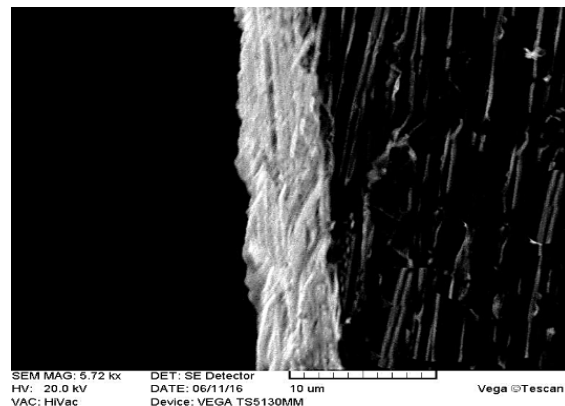


Fig. 5. Variation in P_{crit} and h_{crit} with a substrate bias voltage from 4 mN ramping force nano-scratch tests.

Fig. 6 shows a typical cross-sectional micrograph of sputtered MoS_2 deposited at voltage bias of -50 V. The coating thickness is approximately 4 μm and it shows good adherence to the substrate with no voids, pores, or discontinuities. The dense, compact, and coherent structure was attributed to the bias voltage of -50 V during coating deposition. The ion bombardment during deposition would play an important role in affecting the coatings morphology, composition, and mechanical properties. The energy dispersive analysis of X-ray (EDX) spectra of the coatings are shown in Fig. 6. No oxygen content was detected in the coatings. Since the coating thickness was less than 5 μm , some peaks from the substrate can also be detected.

According to the better properties of MoS_x coatings which were deposited at a bias voltage of -50 V; the MoS_x/Ti composite coatings were also deposited at this bias voltage.



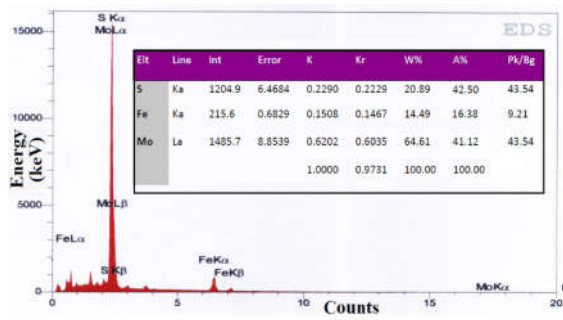


Fig. 6. SEM cross-section image of coating at a voltage bias of -50 V (a) and EDS spectrum (b).

The intensity of the MoS₂ (100) diffraction peak was weakened and gradually disappeared with the increase of the doped Ti content. In addition to the diffraction peak that arose from the substrate, peaks were evident at approximately 2θ from 29°, 33°, and 44° for the pure MoS₂ coating.

Fig. 7 shows the XRD pattern of pure MoS_x coating. In addition to the diffraction peak that arose from the substrate, peaks were evident at approximately 2θ from 14°, 32°, 33°, 35° and 39° for the pure MoS₂ coating, which were assigned respectively to the MoS₂ (002), (100), (101), (102), and (103) planes (according to JCPDS-ICDD card No 87-2416). Because there are very weak intensities of the peaks after 60° in the MoS_x coating XRD pattern, the XRD patterns are only reported between 10° and 50° in Fig. 8 for MoS_x/Ti coatings. The shape of the broad reflection in the 10° -50° range is very similar to that found by Rigato et al. [20] and ascribed to random stacking of S-Mo-S sandwich layers in the structure.

Depending on the crystallographic orientation on the substrate surface, MoS_x coatings, in general, are classified into two orientation types: edge and basal. In the edge orientation (Fig. 9(a)), (002) was parallel to the substrate surface where the (100) and (110) planes of MoS_x crystallites were perpendicular to the substrate surface; in the basal orientation (Fig. 9(b)), the (100) edge plane of MoS_x crystallites was parallel to the substrate surface. The MoS_x coatings with the basal planes parallel to the sliding direction not only supply

good lubrication properties but are also more resistant to oxidation given that the edge sites are protected [33, 35].

The structure of the MoS_x/Ti composite coatings turned possibly into the dominated amorphous structure. The previous research by Filip et al. [36] showed that the sufficient addition of Ti prohibited the crystallization of MoS₂ and promoted the formation of Mo₃S₄. There is no clear evidence in the XRD patterns for the existence of Ti sulfides or mixed Ti-Mo sulfides maybe due to the minimal content of these phases to be detected while no considerable scattered intensity of Mo and Ti oxides were detected.

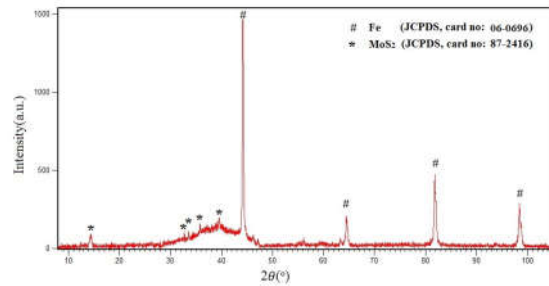


Fig. 7. XRD pattern of MoS₂ coating.

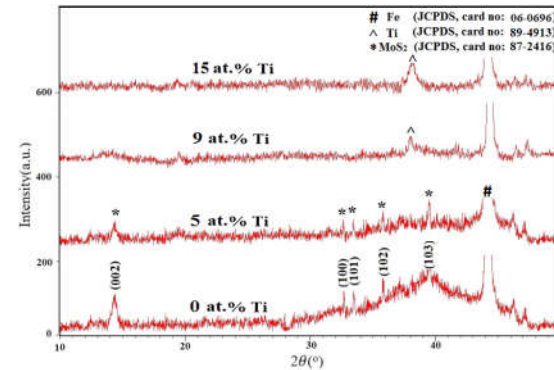


Fig. 8. XRD patterns of MoS₂-Ti composite coatings with different Ti content.

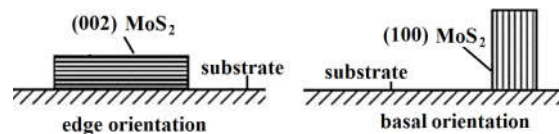


Fig. 9. Two categories of MoS₂ coatings in terms of crystallographic orientations.

Fig. 10 shows the hardness and elastic modulus as a function of dopant concentration for MoS_x/Ti coatings. It can be seen that the hardness of the films increased with the Ti content of the composite films. The high hardness of the MoS_x/Ti coatings can be attributed to their dense structure. For the pure MoS_x coating, the hardness was only approximately 7 GPa, while it increased to 14 GPa with the Ti content of 5 at.% (which was almost two times larger than that of pure MoS_x). The hardness increased due to the structure densification with a certain saturation value of Ti content. Other researchers have reported that the hardness enhancement can be attributed to the solid solution hardening effect. Furthermore, when the metal doping content increased beyond the threshold value of 10 at.% Ti, the coating hardness decreased. This could be due to either the structure deterioration or the possible formation of discrete metallic particles [21, 37].

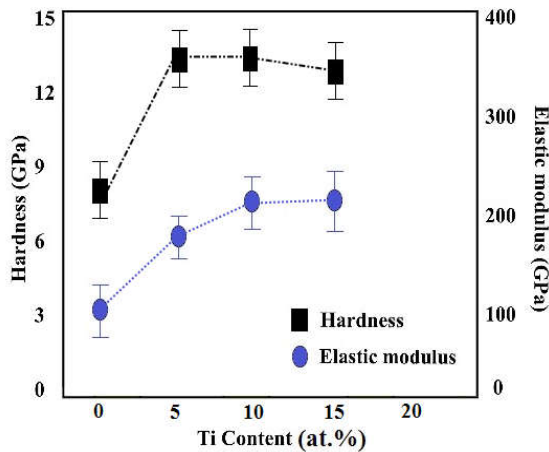


Fig. 10. The hardness and elastic modulus of the MoS_x-Ti composite coatings as a function of Ti content (applied bias voltage is -50 V).

Critical load played a crucial role in the tribological property of the coatings. Fig. 11 shows the critical load of the MoS_x/Ti composite coatings as a function of the Ti. Within the Ti content region of 0–5 at.%, increasing the Ti content led to the significant increase of the coatings' critical loads. It can thus be deduced that Ti concentration seemed to play a significant role in coating cohesion.

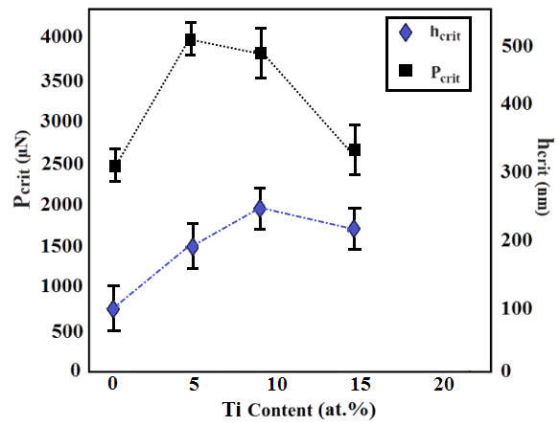


Fig. 11. Variation in critical load vs. weight fraction of Ti (applied bias voltage is -50 V).

In order to investigate the effect of doped Ti content on the tribological behavior of the MoS_x/Ti composite coatings, pin-on-disc friction tests were performed under ambient air. Fig. 12 shows the variation of the friction coefficient for substrate, MoS_x and MoS_x/Ti (5 at.% Ti) as a function of wear cycles. As can be seen, doping Ti (5 at.%) into the MoS_x coatings presented a relatively steady and low friction coefficient that was lower than of the pure MoS_x. This indicates that the doped Ti improved the tribological properties of pure MoS₂ in the atmospheric environment.

In the initial stage, the friction coefficient kept in the relatively steady state and then rose sharply ($\mu \sim 0.9$: substrate friction coefficient) after 900 cycles for the MoS_x coating (Fig. 12b) and 5500 cycles for the MoS_x/Ti coating (Fig. 12c). The frictional 'noise' of the MoS_x/Ti coating was much lower compared to the MoS_x coating.

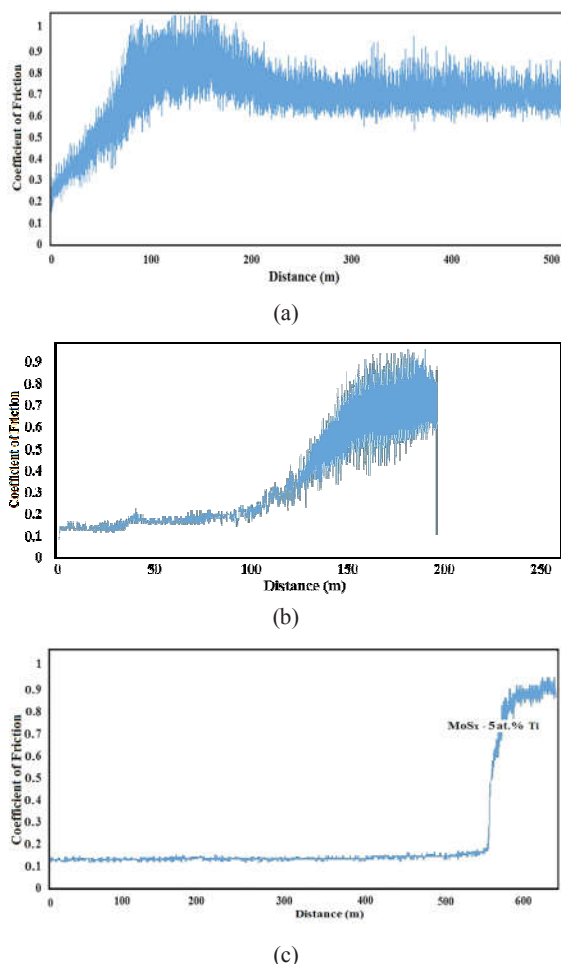


Fig. 12. Variation of the friction coefficient as a function of wear cycles for (a) substrate, (b) MoS_x and (c) MoS_x-5 at.% Ti film during the pin-on-disc wear test.

Fig. 13 shows the average friction coefficient of MoS_x/Ti composite coatings with different Ti contents. As can be seen, with the increase of the Ti content, the average friction coefficient of the coating reduced from 0.20 (pure MoS_x) to 0.14 (MoS_x/Ti, 5 at.% Ti). According to the results of the pin-on-disc tests (as shown in Fig. 10), the addition of Ti to MoS_x/Ti (<10 at.% Ti) increased the endurance of MoS_x coatings. Although the MoS_x coatings exhibited a low coefficient of friction ($\mu \sim 0.2$), they failed after approximately 900 cycles during the pin-on-disc wear tests. The loss of endurance for MoS_x is believed to be related to the reaction with oxygen and counter-face materials, which changed the wear mode of the coating and

no longer provided a lubrication effect.

During wear, MoS_x could be oxidized into MoO₃, which directly caused an abrasive effect as an anti-lubricating component, according to equation 1:



Owing to this chemical reaction, the nature of MoS_x structure was affected significantly and consequently, the MoS_x film lost its initial property with low shear strength. For this reason, a high coefficient of friction was observed in the air up to 1000 cycles [3].

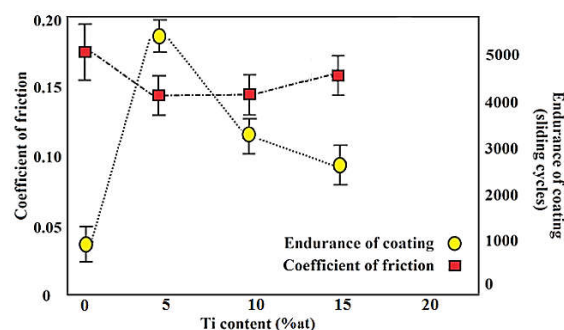


Fig. 13. Average friction coefficient of coating vs. weight fraction of Ti after 800 cycles and the endurance of coating under the load of 5 N and a sliding speed of 0.1 m/s in air.

Fig. 14 shows SEM micrographs and EDX spectra analysis of wear tracks for MoS_x and MoS_x/Ti. According to the EDX analysis, there were Ti, Mo and S on the worn surface of the MoS_x/Ti coating after sliding cycles of 2000 while only Fe could be detected on the worn surface of MoS_x after the same sliding cycles. The EDS analysis of the wear track regions of MoS₂ showed that the major element was Fe corresponding to severe wear.

Considering the low hardness and toughness, the MoS₂ coating was easily removed under the shear stress – which means that the production rate of detached material was high [38]. The reason that the MoS_x/Ti coatings show better performance in a pin-on-disc test than MoS₂ has been studied by many researchers. Ding et al. proposed that the Ti atom move in the space between the sulfur planes and prevent the water

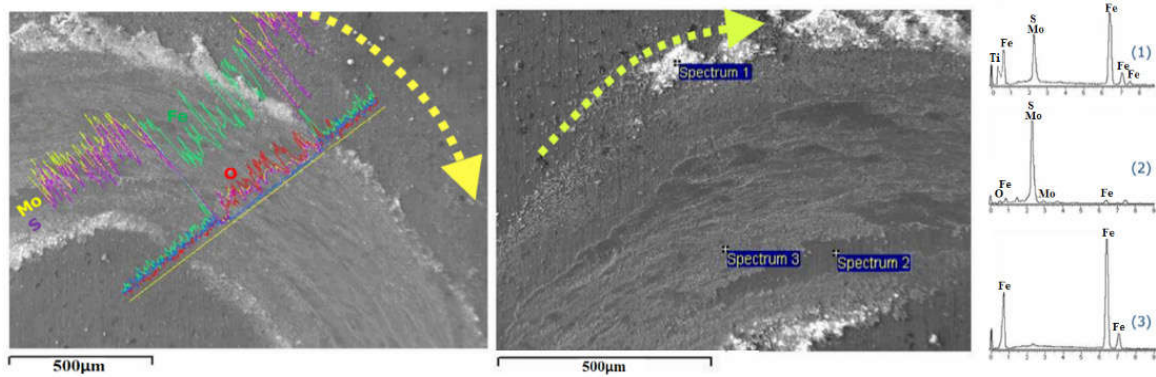


Fig. 14. SEM micrographs and EDX spectra analysis of wear tracks for (a) MoS₂ (b)

vapor from entering the coating [21]. Mikhailov et al. concluded that the role of metal is associated with structural modification of MoS_x rather than the gettering of oxygen during wear test period [39]. During the wear tests conducted in this study, the oxygen easily substituted the sulfur deficient sites and formed the Mo-O-S structure, which caused the degradation of the tribological property. Ti contributed to the formation of stable MoS₂ and influenced the film's friction coefficient by preventing the gliding mechanism. The formation of a layer of TiO₂ could effectively prevent the oxidation of MoS_x and thus improve the wear life of the coating [36, 37].

MoS_x/Ti, after 2000 wear cycles (the yellow arrow indicates the sliding direction).

Fig. 15 shows the wear rates of MoS_x/Ti coatings in various amounts of Ti. These wear rates decreased as Ti content increased. Due to the subsequent improvement in mechanical properties, the coating wear rate decreased in the region of 0–5 at.% Ti. The highest coating hardness and best adhesion together with the dense structure for the MoS₂-5 wt.% Ti composite coating may, therefore, account for its best tribological behavior.

Fig.16 shows the SEM observations and roughness of the wear tracks of the MoS_x coatings. These wear tracks had a rough, lumpy appearance and more debris was still collected at their edge. The wear track of the MoS_x coating had ragged edges, which suggests that the coating delaminated by brittle rather than ductile fracture [40].

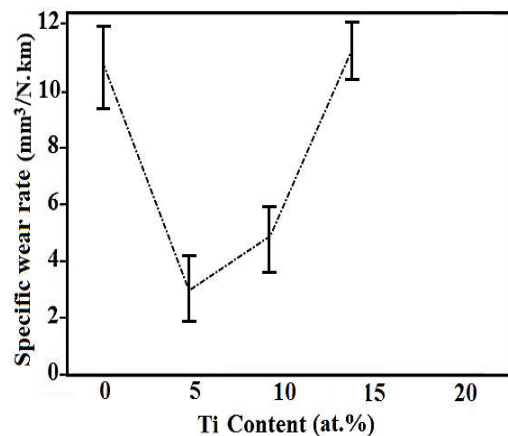


Fig. 15. Specific wear rates of MoS_x/Ti coatings with different Ti content.

In order to determine dominant wear mechanisms, the wear tracks at the normal load of 5 N and after 800 wear cycles were examined by SEM and EDX, as shown in Fig. 17. Comparing wear tracks of samples with the same testing conditions revealed that the main wear mechanisms were different in each sample.

As can be seen in Fig. 16, many wide and deep grooves in the direction of slip were found. However, severe plastic deformations were present in MoS_x/4 wt.% Ti coatings. The main wear mechanisms in the MoS_x and MoS_x/Ti coatings were therefore abrasive and adhesive, respectively.

Furthermore, the EDS analysis of the wear tracks showed that they had a high level of oxygen, which indicated tribo-chemical wear in the sample. The formation of oxides led to an

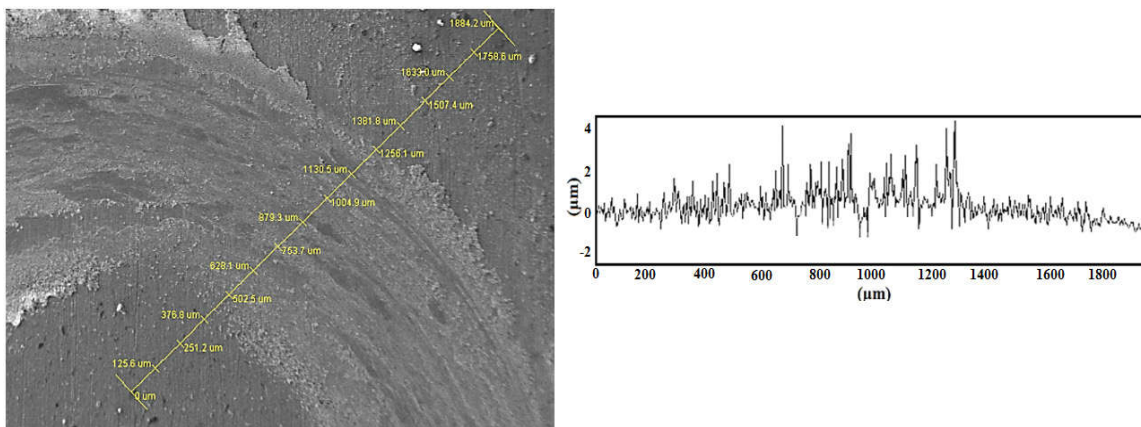


Fig. 16. SEM observations and the roughness of the MoS_x worn surface.

increase of coefficient friction and a decrease in wear life. The presence of titanium atoms within the MoS₂ structure prevented the erosion of the water vapor and oxygen. With increasing Ti content, more MoS₂ was protected and less MoO₃ formation existed. The major wear mechanism in MoS_x coatings is generally a mixture of abrasive and oxidation reaction.

4. CONCLUSIONS

In this investigation, MoS_x/Ti composite coatings with Ti contents varying from 0 to 13 wt.% were deposited onto steel substrate using a DC magnetron sputter ion plating process. The following conclusions can be drawn from the results:

1. Bias voltage has a significant effect on S/Mo in MoS₂ films so that it decreases as bias voltage increases. The highest NS/NMo (1.59) ratio was reached with the lowest bias (0 V).
2. With the decrease of doped titanium content, the phase crystallinity of the MoS₂-Ti composite coatings was increased.
3. The MoS_x/Ti coatings that were deposited by means of magnetron sputtering showed excellent tribological properties.
4. Within the Ti content region of 0–5 wt.%, increasing the Ti content led to a significant increase in the coating's critical load.
5. The MoS_x/Ti coatings exhibited a steady state friction coefficient ranging from 0.13 to 0.19.
6. Adding Ti to MoS_x coatings improved their adhesion to the steel substrate and hardness as well as increased the wear performance of MoS_x coatings under atmospheric conditions.
7. Adding Ti to MoS_x can significantly avoid humidity or tribo-chemical effects on the MoS₂ layer and hence significantly increase the wear life.
8. MoS_x/Ti coatings showed superior wear

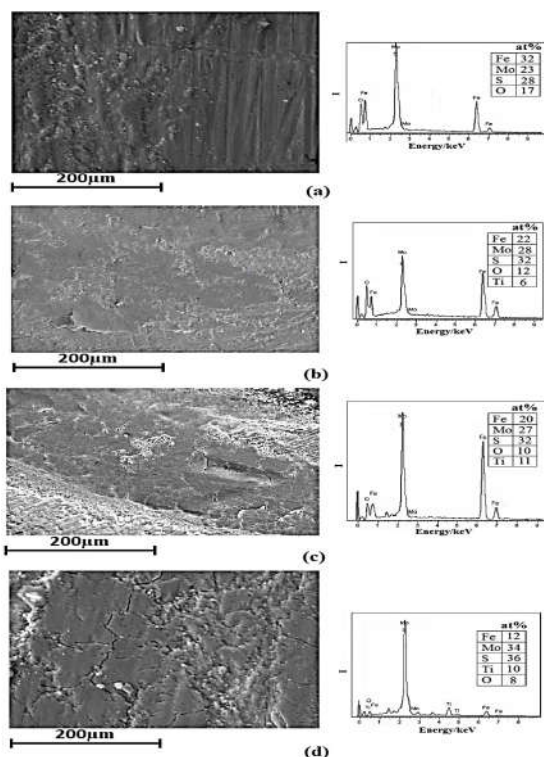


Fig. 17. Worn morphologies and EDX analysis of the synthetic MoS_x/Ti coatings with different Ti content (a) pure MoS_x (b) 5at.% Ti, after 800 wear cycles.

- resistance over MoS_x coating.
- The major wear mechanism in MoS_x coatings was a mixture of abrasive and oxidation reactions.
 - The main wear mechanisms of MoS_x/Ti coatings were adhesive in air.

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